

「中國大陸政治經濟專題」課程大綱
Seminar on the Political Economy of the People's Republic of China

授課教師：徐斯勤(S. Philip Hsu)
(Instructors)童涵浦(Hans H. Tung)

105 學年度下學期(Spring 2017)

(上課時間：週四 15:30 ~ 17:20 / Class time: 15:30 ~ 17:20, Thursday)

Office hour by appointment through e-mails

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Course Description

As a core course in the department's program on East Asian studies, this graduate-level course, conducted fully in English, explores various dimensions of the political, economic, and social developments of the People's Republic of China since 1979. In addition to familiarizing the students with the empirical evidence and relevant methodology of such developments, this course also introduces the theoretical evolution/debates both in China studies and authoritarianism in comparative politics. An extended goal of this course is to prepare the students for delving into new research topics and conducting independent field research in China in the future. Students have to finish all the required readings and be prepared for heavy class participation before each class meeting. Lectures by the instructors where necessary play a supplementary role only.

Course Requirements and Grading Policy

- Written essay(s) of readings that summarize (and, preferably, also critique and/or compare) all readings in a given week (50%) .
- Participation in class discussion and oral presentation of weekly readings (50%) .

Weekly Topics and Required Readings

Week #1 (2/23): Introduction

Week #2 (3/2): Elite Politics (I): Formal Institutions and Informal Dynamics (Instructor: Hsu)

- Lowell Dittmer and Yu-Shan Wu, "The Modernization of Factionalism in Chinese Politics," *World Politics* 47(4) (July 1995), pp. 467-94. (electronic journal available at NTU library website)
- S. Philip Hsu and Jih-Wei Shao, "The Rule-Bound Personnel Turnover of China's Provincial Leaders, 1993-2010," in Chien-wen Kou and Xiaowei Zang (eds.), *Choosing China's Leaders* (New York: Routledge, 2014), pp. 97-141. (downloaded file from CEIBA)
- Zhiyue Bo, "China's Fifth-Generation Leaders: Characteristics of the New Elite and Pathways to Leadership," in Robert S. Ross and Jo Inge Bekkevold (eds.), *China in the Era of Xi Jinping: Domestic and Foreign Policy Challenges* (Washington, D. C.: Georgetown University Press, 2016).

(downloaded file from CEIBA)

Week #3 (3/9): Elite Politics (II): Transformations under Xi Jinping (Instructor: Hsu)

- “Anti-corruption campaign under Xi Jinping,” *Wikipedia*, https://en.wikipedia.org/wiki/Anti-corruption_campaign_under_Xi_Jinping.
- “Officials implicated by the anti-corruption campaign in China since 2012,” *Wikipedia*, https://en.wikipedia.org/wiki/Officials_implicated_by_the_anti-corruption_campaign_in_China_since_2012.
- Joseph Fewsmith, “China’s Political Ecology and the Fight against Corruption,” *China Leadership Monitor*, No. 46 (Winter 2015), <http://www.hoover.org/research/chinas-political-ecology-and-fight-against-corruption>, (downloaded file from the website above)
- “Informal Network as a Safety Net: the Role of Faction in China’s Anticorruption Campaign,” *China: An International Journal* (forthcoming) (downloaded file from CEIBA)

Week #4 (3/16): Central-Local Relations (I): General Perspectives (Instructor: Hsu)

- Susan Shirk, *The Political Logic of Economic Reform in China* (Berkeley: University of California Press, 1993), Chapters 1, 3, 4, pp.1-22, 55-91. (downloaded file from CEIBA)
- Jae Ho Chung, “Studies of Central-Provincial Relations in the People’s Republic of China: A Mid-term Appraisal,” *China Quarterly* 142 (1995). (electronic journal available at NTU library website)
- Linda Chelan Li, *Centre and Provinces: China 1978-1993—Power as Non-Zero-Sum* (Oxford, UK: Clarendon Press, 1998), Introduction and Chapter 1, pp. 1-47. (e-book available at NTU library database)

Week #5 (3/23): Central-Local Relations (II): Specific Issue Areas (Instructor: Hsu)

- Yasheng Huang, *Inflation and Investment Controls in China* (New York: Cambridge University Press, 1996), Chapters 3 and 7, pp. 63-88, 212-257. (file downloaded from CEIBA)
- Linda Chelan Li, “Provincial Discretion and National Power: Investment Policy in Guangdong and Shanghai, 1978-93,” *The China Quarterly* 152 (December 1997), pp. 778-804. (electronic journal available at NTU library website)
- S. Philip Hsu, “Central-Provincial Power Relations in the Fiscal Realm of China, 1980-2014,” in John A. Donaldson (ed.), *Assessing the Balance of Power in Central-Local Relations in China* (New York: Routledge, 2016), pp. 19-50. (file downloaded from CEIBA)

Week #6 (3/30): Linking Various Issues in Central-Local Relations (Instructor: Hsu)

- Lowell Dittmer and Yu-Shan Wu, “Leadership Coalition and Economic Transformation in Reform China: Revisiting the Political Business Cycle,” in Lowell Dittmer and Guoli Liu (eds.), *China’s Deep Reform: Domestic Politics in Transition* (Lanham, MD: Rowman & Littlefield Publishers, 2006), pp. 25-48. (file downloaded from CEIBA)
- S. Philip Hsu, “Balancing Developmental Needs with Vertical and Horizontal Power Competition in China, 1993-2004,” in S. Philip Hsu, Yu-Shan Wu, and Suisheng Zhao (eds.), *In Search of China’s Development Model: Beyond the Beijing Consensus* (New York: Routledge, 2011), pp. 128-146. (file downloaded from CEIBA)

Week #7 (4/6): The State-Society Relations (Instructor: Hsu)

- Tony Saich, “Negotiating the State: The Development of Social Organizations in China,” in Lowell

Dittmer and Guoli Liu (eds.), *China's Deep Reform: Domestic Politics in Transition* (Lanham, MD: Rowman & Littlefield Publishers, 2006), pp. 285-298. (file downloaded from CEIBA)

- Hsin-Hsien Wang, "The Evolution into NGOs in Contemporary China: the Two Approaches and Dilemmas," in Hsu, Wu, and Zhao, pp. 208-227. (file downloaded from CEIBA)
- Jessica C. Teets, "Let Many Civil Societies Bloom: The Rise of Consultative Authoritarianism in China," *The China Quarterly* 213 (March 2013), pp 19-38. (electronic journal available at NTU library website)

Week #8 (4/13): The Party-State and China's Overall Socioeconomic Transitions (Instructor: Hsu)

- Dali L. Yang, "Economic Transformation and State Rebuilding in China," in Barry J. Naughton and Dali L. Yang (eds.), *Holding China Together: Diversity and National Integration in the Post-Deng Era* (New York: Cambridge University Press, 2010), pp. 120-148. (e-book available at NTU library database)
- Minxin Pei, *China's Trapped Transition: The Limits of Developmental Autocracy* (Cambridge, MA: Harvard University Press, 2006), Introduction, Chapters 1, 3 (partial), 4 (partial), pp. 1-44, 109-131, 132-149. (e-book available at NTU library database)
- S. Philip Hsu, "In Search of China's Development Model: Beyond the Beijing Consensus," in S. Philip Hsu, Yu-Shan Wu, and Suisheng Zhao (eds.), *In Search of China's Development Model: Beyond the Beijing Consensus* (New York: Routledge, 2011), pp. 1-24. (file downloaded from CEIBA)

Week #9 (4/20): Local Governance (III): Innovations (Instructor: Hsu)

- Joseph Fewsmith, *The Logic and Limits of Political Reform in China* (New York: Cambridge University Press, 2013), Chapter 3. (file downloaded from CEIBA)
- Ethan J. Leib, and Baogang He (eds.), *In Search of Deliberative Democracy in China* (New York: Palgrave Macmillan, 2006), Chapters 6 and 9. (file downloaded from CEIBA)
- S. Philip Hsu, "In Search of Public Accountability: The 'Wenling Model' in China," *Australian Journal of Public Administration*, Vol. 68, No. S1 (March 2009), pp. 40-50 (electronic journal available at NTU library website)
- Jun Ma and Muhua Lin, "'The Power of the Purse' of Local People's Congress in China: Controllable Contestation under Bureaucratic Negotiation," *The China Quarterly* 223 (September 2015), pp. 680-701. (electronic journal available at NTU library website)

Week #10 (4/27): Authoritarian Institutions and China (Instructor: Tung)

- Boix, Carles and Milan W. Svobik. 2013. "The Foundations of Limited Authoritarian Government: Institutions, Commitment, and Power-sharing in Dictatorships." *Journal of Politics* 75(2): 300-316.
- Gandhi, Jennifer. 2008b. "Dictatorial Institutions and their Impact on Economic Growth." *European Journal of Sociology* 49(1): 3-30.
- Gehlbach, Scott and Philip Keefer. 2011. "Investment Without Democracy: Ruling-Party Institutionalization and Credible Commitment in Autocracies." *Journal of Comparative Economics* 39: 123-139.

Week #11 (5/4): Informal Institutions and China (Instructor: Tung)

- Helmke, Gretchen and Steven Levitsky. 2004. "Informal Institutions and Comparative Politics: A Research Agenda." *Perspectives on Politics* 2(4): 725-40.
- Tsai, Kellee S. 2006. "Adaptive Informal Institutions and Endogenous Institutional Change in China." *World Politics* 59: 116-141.
- Tsai, L. Lily. 2007. *Accountability without Democracy: Solidary Groups and Public Goods Provision in Rural China*. New York: Cambridge University Press. Chapter 3

and 4.

- Xu, Yiqing and Yang Yao. 2015. "Informal Institutions, Collective Action, and Public Investment in Rural China." *American Political Science Review* 109(2): 371-391.

Week #12 (5/11): Political Economy of Accountability and China (Instructor: Tung)

- Abrami, Regina, Edmund Malesky, and Yu Zeng. 2013. "Vietnam through Chinese Eyes: Divergent Accountability in Single-Party Regimes." In Martin K. Dimitrov (Ed.) *Why Communism Did Not Collapse: Understanding Authoritarian Regime Resilience in Asia and Europe*. Cambridge, UK; New York: Cambridge University Press.
- Besley, Timothy. 2006. *Principled Agents? The Political Economy of Good Government*. Oxford ; New York : Oxford University Press. Chapter 3.
- Besley, Timothy and Masayuki Kudamatsu. 2008. "Making Autocracy Work." in Elhanan Helpman (ed.) *Institutions and Economic Performance*. Cambridge, MA: Harvard University Press.
- Shirk, Susan L. 1993. *The Political Logic of Economic Reform in China*. Berkeley: University of California Press. Part II.

Week #13 (5/18): Redistributive Politics and China: The Case of Urban Bias (Instructor: Tung)

- Bai, Jinhui H. and Roger Lagunoff. 2011. "On the Faustian Dynamics of Policy and Political Power." *Review of Economic Studies* 78: 17-48.
- Wallace, Jeremy L. 2014. *Cities and Stability: Urbanization, Redistribution, and Regime Survival in China*. New York : Oxford University Press, 2014. Chapters 1-3, 5.

Week #14 (5/25): China's Domestic Politics and Its Foreign Policy (Instructor: Tung)

- Alesina, Alberto and Alex Cukierman. 1990. "The Politics of Ambiguity." *Quarterly Journal of Economics* 105(4), pp. 829-850.
- Johnston, Alastair Iain. 2013. "How New and Assertive Is China's New Assertiveness." *International Security* 37(4): 7-48.
- Lam, Willy Wo-Lap. 2015. *Chinese Politics in the Era of Xi Jinping*, Chapters 1-2, 4-5.

Week #15 (6/1): Censorship, Media Freedom and China (Instructor: Tung)

- Edmond, Chris. 2013. "Information Manipulation, Coordination, and Regime Change." *Review of Economic Studies* 80: 1422-1458.
- Egorov, Georgy et al. 2009. "Why Resource-poor Dictators Allow Freer Media: A Theory and Evidence from Panel Data." *American Political Science Review* 103 (4): 645-668.
- Lorentze, Peter 2014. China's Strategic Censorship." *American Journal of Political Science* 58 (2):402-414

Week #16 (6/8): Authoritarian Institutional Change and China (Instructor: Tung)

- Greif, Avner and David D. Laitin. 2004. "A Theory of Endogenous Institutional Change." *American Political Science Review* 98(4): 633-652.
- Bell, Stephen and Hui Feng. 2013. *The Rise of the People's Bank of China: The Politics of Institutional Change*. Cambridge, Massachusetts: Harvard University Press. Part I & II.
- Slater, Dan. 2010. "Altering Authoritarianism: Institutional Complexity and Autocratic Agency in Indonesia." In James Mahoney and Kathleen Thelen (Eds.) *Explaining Institutional Change*. Cambridge, UK; New York: Cambridge University Press.
- Lagunoff, Roger. 2009. "Dynamic Stability and Reform of Political Institutions." *Games and Economic Behavior* 67: 569-583.

Week #17 (6/15): China's Democratic Future? (Instructor: Tung)

- Acemoglu, Daron and James A. Robinson. 2000a. "Why Did the West Extend the Franchise? Democracy, Inequality, and Growth in Historical Perspective." *Quarterly Journal of Economics* 115(4): 1167-99.
- Gallagher, Mary E. 2002. "Reform and Openness" Why China's Economic Reforms Have Delayed Democracy." *World Politics* 54.3 (2002) 338-372
- Padró-i-Miquel, Gerard. 2007. "The Control of Politicians in Divided Societies: The Politics of Fear." *The Review of Economic Studies* 74(4): 1259-1274.

Week #18 (6/22): Final exam week, no class.